



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Thomas D. Chittenden  
Serial No.: 08/287,427  
Filed: 09 August 1994  
For: APOPTOSIS RELATED PROTEIN BCL-Y, AND METHODS  
OF USE THEREOF

TRANSMITTAL LETTER

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Sir:

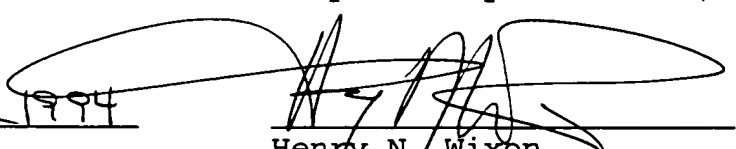
The undersigned hereby submits the following:

- 1) A Recordation Form Cover Sheet (1 page);
- 2) An executed Assignment from the inventors to the  
Applicant (2 pages); and
- 3) Check No. 39531 in the amount of \$40.00 for recordal  
fee.

The Commissioner is hereby authorized to charge any  
additional fee, or credit any overpayment to deposit account No.  
08-0219. This sheet is submitted in duplicate for this purpose.

Respectfully submitted,

Date: 7 OCTOBER 1994  
Hale and Dorr  
1455 Pennsylvania Avenue, N.W.  
Washington, D.C. 20004  
(202) 942-8400

  
Henry N. Wixon  
Attorney for Applicant  
Reg. No. 32,073

Atty Docket No. 104322.121



HALE AND DORR  
COUNSELLORS AT LAW

THE WILLARD OFFICE BUILDING  
1455 PENNSYLVANIA AVENUE, N.W., WASHINGTON, DC 20004  
202-942-8400 • FAX 202-942-8484

06 October 1994

The Honorable Commissioner  
of Patents and Trademarks  
Washington, D.C. 20231

Re: Applicant: Thomas D. Chittenden  
Serial No.: 08/287,427  
For: **Apoptosis Related Protein BCL-Y,  
And Methods of Use Thereof**  
Filed: 09 August 1994  
Our Ref: 104322.121

Sir:

Enclosed herewith for appropriate action by the United States Patent and Trademark Office are the following documents:

1. Response (in duplicate);
2. Copy of Notice To File Missing Parts of Application (Form PTO-1533);
3. Declaration for Patent Application (2 pages);
4. An executed Power of Attorney from Assignee (1 page);
5. Recordation Form Cover Sheet (Assignment);
6. An executed Assignment (2 pages);
7. Hale and Dorr's check No. 39531, in the amount of \$1,534.00 for payment of the Filing fee, claim fees; Assignment fee and surcharge fee.



MORRISON & FOERSTER  
755 Page Mill Road  
Palo Alto, California 94304-1018

Attorney Docket No. 236472000720

## APPLICATION TRANSMITTAL LETTER

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

- ☒ "Express Mail" Mailing Label No. TB79048375XUS Date of Deposit October 7, 1994  
I hereby certify that this paper or fee is being deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above  
and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Jan Steele

(Typed or Printed Name of Person Mailing Paper or Fee)

Jan Steele  
(Signature of Person Mailing Paper or Fee)

Transmitted herewith for filing is the patent application  
of Michael C. Kiefer and Philip J. Barr  
for NOVEL APOPTOSIS MODULATING PROTEINS, DNA ENCODING THE PROTEINS AND  
METHODS OF USE THEREOF

Enclosed are:

19 sheet(s) of      formal ☒ informal drawing(s).

     A claim for foreign priority under 35 U.S.C. § 119/363 in  
     a separate document      the declaration.

     A certified copy of the priority document.

     verified statement(s) of small entity status.

     Other:

The declaration of the inventor ☒ is enclosed ☒ unsigned.

The fee has been calculated as follows:

A. Basic Application Fee		\$ <u>730.00</u>
B. Total Claims 58 minus 20 = 38	x \$ 22.00	\$ 836.00
C. Independent		
Claims 11 minus 3 = 8	x \$ 76.00	\$ 608.00
D. If multiple dependent claims present, add	\$ 240.00	\$ 0.00
E. Total Application Fee (Total of A, B, C & D)	=	\$2,174.00
F. If verified statement of small entity status is enclosed, fifty percent reduction of Total Application Fee.		
(50% x E)	=	\$ 0.00
G. Application Fee Due (E minus F)	=	\$2,174.00
H. Assignment Recording Fee of \$40.00 if assignment document is enclosed.	=	\$ 0.00
I. TOTAL FEE (G plus H)	=	\$2,174.00

By Susan Lehnhardt  
Susan K. Lehnhardt  
Registration No. 33,943

Phone No. (415) 813-5600